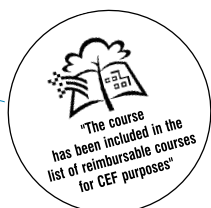


Certificate Course on Investment Portfolio Management 投資組合管理證書課程

2 August - 25 October 2019



CERTIFICATE COURSE ON INVESTMENT PORTFOLIO MANAGEMENT



DESIGNED FOR

Investors, Private Bankers and those who are interested in financial investment. Participants should preferably have basic knowledge on statistics or financial mathematics.

INTRODUCTION

This investment course consists of a total of 36 hours. It introduces you to the background necessary for learning to make better investment decisions and emphasizes terms and measures of return, risk, investment instruments available as well as the major global capital markets operations. The programme initiates the valuation process by considering tools and techniques that support the critical asset allocation decision. It also covers the portfolio theory and its application as well as how an investor should allocate the funds available among alternative investments (Forex versus cash equivalents versus mutual funds). Emphasis will be put on the valuation of individual investment alternatives such as Forex, Stocks, Bonds, Mutual Funds, Options and Futures. As Internet grows rapidly and gradually, it becomes a tool for investors to promptly access information. This programme will help investors to invest successfully on the web.

OBJECTIVES

Upon completion of the certificate course, participants should have gained working knowledge of Stocks, Bonds, Options, Warrants, Futures, Mutual Funds and Portfolio Management.

LANGUAGE MEDIUM

Cantonese (supplemented with English)
Course manuals will be in English

AWARD OF CERTIFICATE

A participant who has:

1. fulfilled a minimum requirement of 70% attendance of total lecture hours;
2. passed the mid-term exercise; and
3. passed the final project

will be awarded a "Certificate in Investment Portfolio Management".

VENUE

Dr Kennedy Y H Wong Management Development Centre
The Hong Kong Management Association
1/F First Commercial Building, 33-35 Leighton Road
Causeway Bay HONG KONG

DATES AND TIME

Friday
2, 9, 16, 23, 30 August;
6, 20, 27 September;
4, 11, 18, 25 October 2019
7:00 pm - 10:00 pm

FEE

1st instalment	2nd instalment	3rd instalment	Total Course Fee
HK\$1,300	HK\$1,300	HK\$1,300	HK\$3,900

Participants are required to settle the payment of the first instalment 5 days before the commencement of the programme (i.e. **27 July**) the second and third instalment on or before **16 August and 6 September** respectively. Participants who submit the bank receipt are requested to write their name, mobile phone number and course enrolled on the back of the original copy of the receipt.

REIMBURSABLE BY CONTINUING EDUCATION FUND (CEF)

Institution Code: 300 CEF Course Code: 23C03558-6

This course has been included in the list of reimbursable courses under the Continuing Education Fund. Participants **MUST** submit their applications directly to the Office of the CEF. For details, please visit the website: www.wfsfaa.gov.hk/cef or call the enquiry hotline: 3142 2277.

Applicant must meet the entry requirements of the course. Please refer to www.wfsfaa.gov.hk/cef for details.

Participants are required to submit proof of academic qualifications (Secondary School graduates or above) and course-related working experience; otherwise the application for enrolment and for reimbursement of fees will not be accepted.

Register Now

CONTENTS

1. THE NATURE OF FINANCIAL MARKET (財經市場)

- Recognize How Financial and Economic Markets Function
- Understand Primary and Secondary Securities Markets, (i.e IPO)
- Identify Types of Financial and Economic Crisis
- The Anatomy of Economical and Investment Emotional Cycle

2. FUNDAMENTALS OF SECURITIES

VALUATION (證券定價基礎)

- Basic Investing Theory
- The Fundamental Determinants of Securities Prices and Index
- Intrinsic Value vs. Market Price
- Understand the Key Financial Information Ratios

3. FUNDAMENTAL ANALYSIS (基本分析)

- From Global Macro to Micro Analysis
- Understanding World Economic Indicators
- Information in Corporate Annual Report
- Basic Criteria on Performance Research to Market Strategy

4. TECHNICAL ANALYSIS (技術分析)

- What is Technical Analysis and Use of Charting?
- Underlying Assumptions of Technical Analysis
- Challenges and Advantages of Technical Analysis
- Demonstration Technical Analysis of different Securities and Fund Index

5. WHAT CAN ONLINE MARKET PORTALS DO FOR YOU ON INVESTMENT? (網上投資工具)

- Investment Tracking and Monitoring
- Review Market and Corporate Report, Stock Quote Details,
- Financial and Economic Breaking News Snapshot Analysis
- Demonstration World Economic and Financial Portals

6. INVESTMENT ASSET – STOCK MARKET FUNDAMENTAL (基本股票市場投資)

- Basic Features of Stock
- Stock Order and Trading Logic
- Why Invest in Stock Securities?
- Basic Criteria to Screen Out Performance Stock

7. INVESTMENT ASSET – MONEY MARKET AND BOND FUNDAMENTALS (基本貨幣市場債券投資)

- Basic Features of Money Market and Bond
- Treasury, Government and Corporate Bonds
- Judging the Investment Quality of Bonds
- Advantages and Disadvantages to Holder of Bonds

8. INVESTMENT ASSET – COMMODITIES FUNDAMENTALS (商品投資)

- Identify Commodities Asset Class : Precious Metals, Energy and Agricultural
- Basic Features of Commodities Investment
- Commodities Price Trend Analysis
- Introduction to Commodities ETF Index

9. FUNDAMENTALS OF DERIVATIVES AND HEDGE (衍生工具及對沖)

- Terminology of Derivatives and Hedge
- Basic Features of Futures, Options and Warrants Transaction Logic
- Hedging Core Application and Strategies

10. HOW MUTUAL FUNDS WORK (投資基金的運作)

- Types of Mutual Funds and Organizations
- Mutual Funds Investment - Methods, Sales Charges & Management Fees
- Can Fund Managers Beat The Market?
- Active and Passive Fund Management

11. FUND ANALYSIS AND RISK-ADJUSTED PERFORMANCE (基金分析及風險分析)

- Fund Analysis Terminology
- Risk-Adjusted Statistical Information Ratio
- How to Screen Out The Best Funds Categories?
- Direct Funds vs Insurance Unit Linked Investment in Financial Planning

12. PORTFOLIO MANAGEMENT (投資組合管理)

- Determination of Asset Allocation
- Portfolio Optimization, Construction and Rebalancing
- Portfolio Monitoring in Financial Planning
- DIY A Winning Portfolio

LECTURER

Dr Frederick Wong

Dr Wong has been involved in the financial and investment aspects of global market and funds research, investment strategies, wealth management solution, effective asset allocation, risk management and disciplined investment activities for growth opportunities. He has taught research based topics about global, emerging markets and commodities markets with strength in niche financial economic and technical analysis. The lecturer is interested in exploring new investment decision and investment behavior with students.

Dr Wong has also conducted courses in a variety of business subjects such as Leadership, IT Management and Investment Portfolio Management. Being an instructor in different fields of industries, the lecturer is an expert in information-knowledge and management solution. He has gained extensive teaching experience as a trainer and engaged himself in part-time teaching at HKMA and in-house training for various financial services companies for over 10 years.

Dr Wong is most willing to share his valuable experience with the participants so that they may come up with practical solutions for actual daily work problems.

ENQUIRIES

For enrolment and general enquiries, please contact the Customer Service Department on 2774-8501 or via fax 2774-8503. For course details, please contact Ms Candy Ho on 2774-8554; or visit the HKMA website: certificate.hkma.org.hk/pdf/CB2011120191FC.pdf.

Register Now

APPLICATION FORM

CERTIFICATE COURSE ON INVESTMENT PORTFOLIO MANAGEMENT

投資組合管理證書課程 CB-20111-2019-1-FC 2 August - 25 October 2019

1st instalment	2nd instalment	3rd instalment	Total Course Fee
HK\$1,300	HK\$1,300	HK\$1,300	HK\$3,900



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23C03558-6

* Applicants should include their HKID card number and fill in all details in block letters, otherwise no MDCU will be given. The Association will issue certificates based on the details and name format as given in this form.

Name (Mr/ Ms) _____ 姓名: _____
(Surname) (Other Names) (中文)

HKID Card No. (For verification of the applicant's identity): _____ Date of Birth (YY/MM/DD): _____

Position: _____ HKMA Membership No.: _____

Company: _____

Address of Company: _____

Job Function (e.g. Accounting), please specify: _____

Nature of Business (e.g. Retail), please specify: _____

Telephone No. (Office): _____ (Residence): _____ Mobile: _____

E-mail: _____

Correspondence Address: _____

Cheque Number: _____ Cheque Amount: HK\$ _____

Education Level: Doctoral degree Master's degree Bachelor's degree HKMA Diploma Other Diploma
 Matriculation F.5 / HKDSE Others (Please specify) _____

* Applicant must meet the entry requirements of the course. Please refer to www.wfsfaa.gov.hk/cef for details.

* Participants are required to submit proof of academic qualifications (Secondary School graduates or above) and course-related working experience; otherwise the application for enrolment and for reimbursement of fees will not be accepted.

Total Number of Years' Working Experience _____ Years of Working Experience in Course-Related Field _____

Name & Title of Nominator (if applicable): _____

Nominator email/address: _____

Sponsorship: Self-sponsored Company-sponsored (please fill in the following information if a cheque/ receipt is not attached)

Our company undertakes to pay the course fee for the above applicant

Name of Contact Person _____ Position _____

Telephone No.: _____ E-mail: _____

Signature: _____ Company Chop and Date: _____

APPLICATION FOR CEF

I will apply for CEF and aware that I shall submit application directly to CEF Office of the programme. I consent to the disclosure of my personal data, any other information and records in relation to the programme to Labour and Welfare Bureau, the Office of CEF and the Hong Kong Council for Accreditation of Academic and Vocational Qualifications for the purposes of fees reimbursement under the CEF and audit inspection.

I will not apply for fee reimbursement under the CEF for the course and do not consent to the disclosure of my personal data to Labour and Welfare Bureau, the Office of CEF and the Hong Kong Council for Accreditation of Academic and Vocational Qualifications.

From where did you FIRST learn about this Programme?

HKMA Email Mail Newspaper/Magazine (please specify): _____

Email Promotion from Other Websites (please specify): _____ Online Advertisement (please specify): _____

Search Engine (please specify): _____ Social Media (please specify): _____

HKMA Website (Where did you find this information): _____

MTR Station (please specify): _____ Exhibiton: Jobmarket Career & Education (EJEX) Others (please specify): _____

■ This form together with a crossed cheque payable to The Hong Kong Management Association should be returned to:
Executive Director, The Hong Kong Management Association, 16/F Tower B Southmark, 11 Yip Hing Street, Wong Chuk Hang, Hong Kong.

Personal Data Collection Statement

- The personal data of applicants are collected and kept for purposes of processing of applications of course enrolment, course admission, student and member administration, course research and statistical matters.
- The personal data provided in this form will be used by the Association for direct marketing, including special offers, training and education programmes, awards and competitions, membership, alumni, promotional activities and other services and activities that it may arrange.
- Applicants wishing for access to and/or correction of personal data may send their written requests to the Association.

If you do not wish to receive information as stated in point 2 of this statement, please indicate your objection by ticking the box. You may at any time send your written requests to the Association.

Applicant's Declaration

- I declare that the information provided in this form and the attached documents is correct and complete. I authorize the Association to obtain information about my public examination results and records of studies from concerned institutions (if necessary).
- I understand that the information provided in this form and the attached documents will be used in the admission assessment process and that any misrepresentation, omission or misleading information given may disqualify my application for admission and enrolment in the programme.
- I have noted, understood and agreed to the contents of the Personal Data Collection Statement.

Notes

- I understand that all handout materials obtained in class are strictly for my own educational purposes.
- I have understood all the "Notes for Application" listed in Application Form.

Applicant's Signature: _____ Date: _____

Notes for Application

- Registration must be made on the Enrolment Form provided and returned to the Association before the programme commencement date (Not less than 5 days) with full fee.
- Acceptance is subject to the discretion of the Association.
- Applicants will be notified by telephone to confirm receipt of the application form and full programme fee. An official receipt will be sent to you within two weeks.
- Applicants are expected to attend the course at the place and time specified in the brochure unless otherwise notified.
- When a programme is over-subscribed, additional classes may be started in some cases. Applicants may then be notified of the new time, dates and place of meetings when necessary.
- For ENROLMENT and ENQUIRIES please call 2774-8501 (Customer Service Department) during normal office hours or fax 2774-8503.
- No refund will be made after payment, but participants can arrange to have their places substituted should they be unable to attend the programme by notifying the Association at least 2 days prior to programme commencement.
- Fax reservations are welcome but are subject to confirmation by payment in full within 10 days of the date the reservation is made or 5 days prior to programme commencement, whichever is sooner.
- Applications, upon full payment, will be processed on a first-come first-served basis.
- Before classes/examinations commence, if the Observatory announces that Typhoon Signal No.8 or above/Black Rainstorm Warning is in force; or Typhoon Signal No.8 or above will be hoisted within 2 hours, no classes/examinations will be held. Replacement classes and remedial examinations will be arranged. (All classes will be held as scheduled if Typhoon Signal No.8 or above/Black Rainstorm Warning is lowered at or before 7:00 am; 2:00 pm classes and examinations thereafter will be held as scheduled if Typhoon Signal No.8 or above/Black Rainstorm Warning is lowered at or before 12:00 noon; 6:00 pm classes and examinations thereafter will be held as scheduled if it is lowered at or before 4:00 pm.)
- When Typhoon Signal No.8 or above is in force during classes/examinations, all classes and examinations will be dismissed immediately. Replacement classes and remedial examinations will be arranged. When Black Rainstorm Warning is in force during classes/examinations, all classes and examinations will be held as scheduled.
- The Association reserves the right to cancel a programme and/or make alterations in relation to its lecturers, contents, dates, time, venue and other particulars without prior notice.
- HKMA Website: WWW.HKMA.ORG.HK